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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/596,853	06/19/2000	Sho Kou	SONY-50N3456.01	4217

7590 09/21/2006  
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EXAMINER

MANNING, JOHN

ART UNIT PAPER NUMBER

2623

DATE MAILED: 09/21/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/596,853

Applicant(s)

KOU, SHO

Examiner

John Manning

Art Unit

2623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-16 and 23-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-16 and 23-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. ____.                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date ____.  | 6) <input type="checkbox"/> Other: ____.                          |

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments filed 6/28/06 have been fully considered but they are not persuasive.

Applicant argues, "...Ozkan et al. does not teach or suggest in a digital television receiving system that includes a first and a second device "said second device issuing said command to said first device; and e) said first device..., returning one table of said plurality of tables to said second device" as is set forth in Claim 1 (Claim 11 contains similar limitations). And, Humpleman et al. does not teach or suggest these limitations to remedy the deficiencies of Ozkan et al."

The examiner respectfully disagrees.

Ozkan disclose:

- A digital television receiving system (Figure 1; Col 2, Lines 48-55)
- A first device (Figure 1, Items 13, 15, 17 and 22)
- A second device (Figure 1, Item 60).

As to the recited limitation of "said first device..., returning one table of said plurality of tables to said second device", Applicant further argues, "...Ozkan et al. discloses that processor 60 itself assembles information into tables and thus does not receive the tables from another component in response to commands that it sends to it..." The disclosed processor receives information that is assembled using data identifier within the MGT. The MGT inherently allows received information to be reassembled into tables for predetermined information.

***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-3, 6-16, and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ozkan et al (6,115,074) in view of Humpleman et al (6,546,419).

Regarding Claim 1, Ozkan shows a digital television receiving system with a first device for receiving a digital television bit-stream (col. 2 lines 48-67, col. 3 lines 12-22, fig. 1 items 13, 15, 17, and 22, input processing components).

Ozkan further shows a second device setting a command, the command for requesting a table of plurality of tables regarding the bit-stream (col. 3 lines 47-52, processor 60 setting control register, col. 5 lines 35-62, setting values in control register to request tables). Although not specifically stated, it is nonetheless inherent that there is some field that is set to designate the command. Ozkan finally shows the second device, or processor, issuing the command to the first device (col. 3 lines 47-52, processor 60 setting control register, col. 5 lines 35-62, setting values in control register to request tables) and the first device returning one of a plurality of tables to the second device (col.

5 lines 45-61, processor 22 matches the PIDs of incoming packets provided by unit 17 with PID values pre-loaded in control registers within unit 22 by processor 60, processor 60 accesses, parses and assembles the packets captured by processor 22 and stores the program specific information within its internal memory) in response to the command. When a user requests a channel, the processor receives the request and issues a command to the input components. "Using **Control signal C**, processor 60 configures transport processor 22 to select the data packets comprising the remaining **program specific information** including the **CIT, EIT and ETT** data" (Col 5, Lines 46-49). The processor receives a table, which describes the sub-channels that are contained in the particular PTC selected by the user. The processor then uses the returned table [Channel Information Table (**CIT**), Event Information Tables (**EITs**), Extended Text Tables (**ETTs**)] to tune and manipulate the decoder to decode the correct sub-channel. Ozkan fails to specifically state that the command field refines identification of information being requested or that the second device sets one flag of a plurality of flags in the command, the step of setting defining the type of information the attribute field describes, wherein the type of information the attribute field describes is selectable between multiple types of information. Humpleman shows that the command attribute field refines identification of information being requested (Col 10, Lines 16-64). Furthermore, Humpleman shows setting one flag of a plurality of flags in the command (Col 11, Lines 18-26), the step of setting defining the type of information the attribute field

describes (Col 18, Lines 3-16), wherein the type of information the attribute field describes is selectable between multiple types of information (Figures 10-11). Finally, Humpleman shows that these commands are used between two devices (Figure 12). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Ozkan with the ability to use multiple command fields and flags, as shown in Humpleman, so as to "cause the first and second home devices to communicate with each other to perform the service" (Abstract of Humpleman).

Regarding Claim 2, Ozkan shows using a master guide table, virtual channel tables, event information tables, extended text tables, and a variety of other tables (col. 4 lines 3-21, col. 7 lines 1-56). Ozkan and Humpleman fail to show a system time table and a ratings table. Official Notice is give that it is well known and expected in the art to use system time tables and ratings tables. These tables provide more information to the user regarding the show and help synchronize program content. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Ozkan and Humpleman with the ability to store and use a system time table and a ratings table in order to provide the user with valuable information regarding the program and so that the programs could be properly synchronized.

Regarding Claim 3, Ozkan shows that the command can be a command that directly selects data (col. 5 lines 45-61, processor 22 matches the PIDs of incoming packets provided by unit 17 with PID values pre-loaded in control

registers within unit 22 by processor 60, processor 60 accesses, parses and assembles the packets captured by processor 22 and stores the program specific information within its internal memory).

Regarding Claim 6, Ozkan shows a tuner device, or decoder (figure 1 items 15, 17, and 100).

Regarding Claim 7, Ozkan shows the second device is a controller (see figure 1 item 60 and 64, col. 5 lines 34-60).

Regarding Claim 8, Ozkan shows that the bit-stream comprises digitized audio, video, data, and tables (col. 2 lines 5-16, col. 3 lines 15-29, col. 4 lines 3-21).

Regarding Claim 9, Ozkan shows that the video is in MPEG format (col. 2 lines 5-15, 50-62).

Regarding Claim 10, Ozkan shows the use of a bi-direction data bus (col. 3 lines 50-52, fig. 1). Ozkan and Humpleman fail to show the use of an IEEE 1394 serial bus. Official Notice is taken that it is well know and expected in the art to use an IEEE 1394 serial bus to connect device. It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Ozkan and Humpleman with a serial bus so that the system would use a well-known industry standard to communicate between devices.

Regarding Claim 11, Ozkan shows a first device having a memory unit for storing a command (fig. 1 item 60 processor, col. 3 lines 30-50). Although not specifically stated, it is nonetheless inherent that there is some field that is set to

designate the command. Ozkan also shows a table field for specifying a table identifier and a multi purpose field for specifying one of a plurality of attributes related to the table (figs. 2-6, tables with multiple fields for describing attributes of the bit stream and received data, descriptor tags, and table ids, col. 5 lines 15-60, hierarchically arranged tables with supplementary descriptor information). These fields identify the type of information held in all fields. Ozkan further shows a second device connected to the bit-stream (col. 2 lines 48-67, col. 3 lines 12-22, fig. 1 items 13, 15, 17, and 22, input processing components), a communication link connecting the first device and second device (fig. 1, col. 3 lines 45-52, signal bus), and first device issuing a command to second device (col. 3 lines 47-52, processor 60 setting control register, col. 5 lines 35-62, setting values in control register to request tables). Ozkan finally shows the second device, or processor, issuing the command to the first device (col. 3 lines 47-52, processor 60 setting control register, col. 5 lines 35-62, setting values in control register to request tables) and the first device returning one of a plurality of tables to the second device (col. 5 lines 45-61, processor 22 matches the PIDs of incoming packets provided by unit 17 with PID values pre-loaded in control registers within unit 22 by processor 60, processor 60 accesses, parses and assembles the packets captured by processor 22 and stores the program specific information within its internal memory) in response to the command. When a user requests a channel, the processor receives the request and issues a command to the input components. "Using **Control signal C**, processor 60



configures transport processor 22 to select the data packets comprising the remaining **program specific information** including the **CIT, EIT and ETT** data” (Col 5, Lines 46-49). The processor receives a table, which describes the sub-channels that are contained in the particular PTC selected by the user. The processor then uses the returned table [Channel Information Table (**CIT**), Event Information Tables (**EITs**), Extended Text Tables (**ETTs**)] to tune and manipulate the decoder to decode the correct sub-channel. Ozkan fails to specifically state that the command field refines identification of information being requested or that the second device sets one flag of a plurality of flags in the command, the step of setting defining the type of information the attribute field describes, wherein the type of information the attribute field describes is selectable between multiple types of information. Humpleman shows that the command attribute field refines identification of information being requested (Col 10, Lines 16-64). Furthermore, Humpleman shows setting one flag of a plurality of flags in the command (Col 11, Lines 18-26), the step of setting defining the type of information the attribute field describes (Col 18, Lines 3-16), wherein the type of information the attribute field describes is selectable between multiple types of information (Figures 10-11). Finally, Humpleman shows that these commands are used between two devices (Figure 12). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Ozkan with the ability to use multiple command fields and flags, as shown in Humpleman, so

as to “cause the first and second home devices to communicate with each other to perform the service” (Abstract of Humpleman).

Regarding Claim 12, Ozkan shows that depending on the user selected channel, the command sets a variety of bits to indicate a bundle number and sub-channel (col. 6 lines 10-65). This information denotes which table is to be returned to the processor to look up the correct channel number. Ozkan also shows returning, based on commands, an extended text table (col. 8 lines 30-67, col. 9 lines 1-32, col. 10 lines 40-56).

Regarding Claim 13, the limitations of the claim have been discussed with regards to claim 7.

Regarding Claim 14, the limitations of the claim have been discussed with regards to claim 6.

Regarding Claim 15, the limitations of the claim have been discussed with regards to claim 8.

Regarding Claim 16, the limitations of the claim have been discussed with regards to claim 9.

Regarding Claim 30, Ozkan shows using a master guide table, virtual channel tables, event information tables, extended text tables, and a variety of other tables (col. 4 lines 3-21, col. 7 lines 1-56). Ozkan and Humpleman fail to show a source identification and a ratings table. Official Notice is given that it is well known and expected in the art to use system source tables and ratings tables. These tables provide more information to the user regarding the show

and help synchronize program content. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Ozkan and Humpleman with the ability to store and use a system time table and a ratings table in order to provide the user with valuable information regarding the program and so that the programs could be properly synchronized.

4. Claim 23-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ozkan et al (6,115,074).

Regarding Claim 23, Ozkan shows a method of providing bitstream information comprising accessing a digital television bitstream (col. 2 lines 48-67, col. 3 lines 12-22, fig. 1 items 13, 15, 17, and 22, input processing components), first device having a memory unit for storing a command (fig. 1 item 60 processor, col. 3 lines 30-50). Although not specifically stated, it is nonetheless inherent that there is some field that is set to designate the command. Ozkan also shows a table field for specifying a table identifier and a multi purpose field for specifying one of a plurality of attributes related to the table (figs. 2-6, tables with multiple fields for describing attributes of the bit stream and received data, descriptor tags, and table lds, col. 5 lines 15-60, hierarchically arranged tables with supplementary descriptor information). These fields identify the type of information held in all fields. Ozkan further shows a second device connected to the bit-stream (col. 2 lines 48-67, col. 3 lines 12-22, fig. 1 items 13, 15, 17, and 22, input processing components), a communication link connecting the first

device and second device (fig. 1, col. 3 lines 45-52, signal bus), and first device issuing a command to second device (col. 3 lines 47-52, processor 60 setting control register, col. 5 lines 35-62, setting values in control register to request tables). Ozkan finally shows the second device returning one of a plurality of tables to the first device based on information in the command and multi-purpose field (col. 5 lines 45-61, processor 22 matches the PIDs of incoming packets provided by unit 17 with PID values pre-loaded in control registers within unit 22 by processor 60, processor 60 accesses, parses and assembles the packets captured by processor 22 and stores the program specific information within its internal memory). Ozkan shows using a master guide table, virtual channel tables, event information tables, extended text tables, and a variety of other tables (col. 4 lines 3-21, col. 7 lines 1-56). "Using **Control signal C**, processor 60 configures transport processor 22 to select the data packets comprising the remaining **program specific information** including the **CIT, EIT and ETT data**" (Col 5, Lines 46-49). The processor receives a table, which describes the sub-channels that are contained in the particular PTC selected by the user. The processor then uses the returned table [Channel Information Table (**CIT**), Event Information Tables (**EITs**), Extended Text Tables (**ETTs**)] to tune and manipulate the decoder to decode the correct sub-channel. Ozkan fails to show a system time table and a ratings table. Official Notice is give that it is well known and expected in the art to use system time tables and ratings tables. These tables provide more information to the user regarding the show and help synchronize

program content. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Ozkan with the ability to store and use a system time table and a ratings table in order to provide the user with valuable information regarding the program and so that the programs could be properly synchronized.

Regarding Claim 24, Ozkan shows setting a flag indicating that the data is valid (figs. 2-6, tables with multiple fields for describing attributes of the bit stream and received data, descriptor tags, and table ids, col. 5 lines 15-60, hierarchically arranged tables with supplementary descriptor information).

Regarding Claim 25, Ozkan shows setting a value in the table field. Ozkan shows a table field for specifying a table identifier and a multi purpose field for specifying one of a plurality of attributes related to the table (figs. 2-6, tables with multiple fields for describing attributes of the bit stream and received data, descriptor tags, and table ids, col. 5 lines 15-60, hierarchically arranged tables with supplementary descriptor information). These fields identify the type of information held in all fields. Ozkan further shows a second device connected to the bit-stream (col. 2 lines 48-67, col. 3 lines 12-22, fig. 1 items 13, 15, 17, and 22, input processing components), a communication link connecting the first device and second device (fig. 1, col. 3 lines 45-52, signal bus), and first device issuing a command to second device (col. 3 lines 47-52, processor 60 setting control register, col. 5 lines 35-62, setting values in control register to request tables). Ozkan finally shows the second device returning one of a plurality of

tables to the first device based on information in the command and multi-purpose field (col. 5 lines 45-61, processor 22 matches the PIDs of incoming packets provided by unit 17 with PID values pre-loaded in control registers within unit 22 by processor 60, processor 60 accesses, parses and assembles the packets captured by processor 22 and stores the program specific information within its internal memory).

Regarding Claim 26, the limitations of the claim have been discussed with regards to Claim 25.

Regarding Claim 27, Ozaka shows the user directly selects the command (col. 3 lines 30-40, user selects for viewing the channel and the processor performs control functions).

Regarding Claim 28, Ozkan shows using a master guide table, virtual channel tables, event information tables, extended text tables, and a variety of other tables (col. 4 lines 3-21, col. 7 lines 1-56). Ozkan fails to show a system a ratings table. Official Notice is give that it is well known and expected in the art to use system time tables and ratings tables. These tables provide more information to the user regarding the show and help synchronize program content. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Ozkan with the ability to store and use a system time table and a ratings table in order to provide the user with valuable information regarding the program and so that the programs could be properly synchronized.

Regarding Claim 29, Ozkan shows using a master guide table, virtual channel tables, event information tables, extended text tables, and a variety of other tables (col. 4 lines 3-21, col. 7 lines 1-56). Ozkan fails to show a system time table and a ratings table. Official Notice is give that it is well known and expected in the art to use system time tables and ratings tables. These tables provide more information to the user regarding the show and help synchronize program content. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Ozkan with the ability to store and use a system time table and a ratings table in order to provide the user with valuable information regarding the program and so that the programs could be properly synchronized.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John Manning whose telephone number is 571-272-7352. The examiner can normally be reached on M-F: 9:00 - 5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Miller can be reached on 571-272-7353. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.


JM

September 18, 2006



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